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Facsimile No. 571/273-8300 To: Commissioner for Patents for Examiner Timothy M. Harbeck Group Art Unit 3628 No. of Pages Including Cover Sheet: 19 From: Amelia Turner for Michele Morrow Legal Assistant to Gerald H. Glanzman Transmitted herewith: Transmittal; and Response to Office Action. Re: Application Serial No. 09/852,934 Attorney Docket No. YOR920000826US1 Date: Tuesday, March 14, 2006 This Facsimile is intended only for the use of the addressee Please contact us at (972) 385-8777 if and, if the addressee is a client or their agent, contains you do not receive all pages indicated privileged and confidential information. If you are not the Intended recipient of this facsimile, you have received this above or experience any difficulty in facsimile inadvertently and in error. Any review, receiving this facsimile. dissemination, distribution, or copying is strictly prohibited. If you received this facsimile in error, please notify us by

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAR 1 4 2006

In re application of: Chandra et al.

Serial No.: 09/852,934

Filed: May 10, 2001

For: Apparatus and Methods for Providing Secure Electronic Brokers

35526
PATENT TRADEMARK OFFICE
CUSTOMER NUMBER

Group Art Unit: 3628

Examiner: Harbeck, Timothy M.

Attorney Docket No.: YOR920000826US1

Certificate of Transmission Under 37 C.F.R. § 1.8(a)

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on March 14, 2006.

By:

melia C. Tumer

TRANSMITTAL

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

TRANSMITTED HEREWITH:

• Response to Office Action

No fees are believed to be required. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to IBM Corporation Deposit Account No. 50-0510. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to IBM Corporation Deposit Account No. 50-0510.

Respectfully submitted.

Gerald H. Glanzman

Registration No. 25,035

Duke W. Yee

Registration No. 34,285

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By:

melia C. Timer

33326
PATENT TRADEMARK OFFICE
CUBIOMER NUMBER

Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

RESPONSE TO OFFICE ACTION

Sir:

No fees are believed to be required. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to IBM Corporation Deposit Account No. 50-0510. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to IBM Corporation Deposit Account No. 50-0510.

In response to the Office Action of December 14, 2005, please amend the above-identified application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 9 of this paper.